

# THE FIRST CUSTODIAN FUND (INDIA) LTD.

CIN No. : L67120WB1985PLC038900



REGD. OFFICE : 11 CAMAC STREET, KOLKATA - 700 017 • PHONE : 2282 2503

CORPORATE OFFICE : SURYA MAHAL 3RD FLOOR, NAGINDAS MASTER ROAD, FORT, MUMBAI-400 023. INDIA  
TEL.: 6635 9001 • 6635 9002 • FAX: +91-22-2270 3539 • E-MAIL: [ffcfil@rediffmail.com](mailto:ffcfil@rediffmail.com) • WEB.: [www.firstcustodianfund.com](http://www.firstcustodianfund.com)

## Internal controls

At the time of opening the account of client account, we are obtaining (a) Client Registration Form (b) Member Client Agreement (c) Risk Disclosure Document.

- (1) At the time of registering a client, the client shall be informed that only the documents stated above are mandatory and any additional clause or documentation shall be voluntary
- (2) Additional documents shall state at the beginning in bold that the document is voluntary.
- (3) Such documents, if any shall also recognise specifically the right of the client to terminate the document. In such an eventuality, the trading member may terminate the special facility.
- (4) The list containing draft mandatory documents for signing and the checklist containing mandatory documents
- (5) No documentation shall give any exclusive right or control to the trading member or third party over the ledger account or bank account of the client except to the extent of and restricted to the client's obligation to the trading member in respect of the transactions done.
- (6) Everyday after close of the market we are checking the position of the Client and inform the debit / credit of the day and also inform to give the delivery against the sale and check your D P account for crediting against the purchase.